

# Numerical modelling of nonlinear extreme waves in presence of wind

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## Abstract

A numerical wave flume with fully nonlinear free surface boundary conditions is adopted to investigate the temporal characteristics of extreme waves in the presence of wind at various speeds. Incident wave trains are numerically generated by a piston-type wave maker, and the wind-excited pressure is introduced into dynamic boundary conditions using a pressure distribution over steep crests, as defined by Jeffreys' sheltering mechanism. A boundary value problem is solved by a higher-order boundary element method (HOBEM) and a mixed Eulerian-Lagrangian time marching scheme. The proposed model is validated through comparison with published experimental data from a focused wave group. The influence of wind on extreme wave properties, including maximum extreme wave crest, focal position shift, and spectrum evolution, is also studied. To consider the effects of the wind-driven currents on a wave evolution, the simulations assume a uniform current over varying water depth. The results show that wind causes weak increases in the extreme wave crest, and makes the nonlinear energy transfer non-reversible in the focusing and defocusing processes. The numerical results also provide a comparison to demonstrate the shifts at focal points, considering the combined effects of the winds and the wind-driven currents.

**Key words:** extreme waves, fully nonlinear numerical wave flume, higher-order boundary element, wave focusing, Jeffreys' sheltering mechanism

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## 1 Introduction

Under actual ocean conditions, strong nonlinear extreme waves, which are identified by their exceptionally large height, steep shape, asymmetric wave form, and unpredictability, can pose a serious threat to ships and offshore structures. Currently, there is no consensus on a unique definition for extreme wave events. One definition that is often used is based on the height. The wave is considered to be extreme if its height is greater or equal to 2.2 times the significant wave height (Kharif et al., 2008). Several mechanisms have been suggested as the possible causes for the extreme waves. The first mechanism is high-order nonlinearity (higher than the third order), causing extreme waves in the deep water. The nonlinear interactions can transfer energy among the Fourier modes and excite chaotic mode evolutions, which can generate a single extremely large wave with an outstanding crest height, such as a rogue wave (Mori et al., 2002). The second mechanism is modulation, or Benjamin-Feir instability (Benjamin and Feir, 1967), for the extreme waves formed by a narrow-band and deep-water wave train. This mechanism has been investigated extensively both analytically and numeric-

ally (Osborne et al., 2000; Onorato et al., 2001, 2002). Additionally, dispersive spatial-temporal focusing has been verified to effectively induce the extreme waves through the superposition of different frequency wave components at a specific time and position (Kharif et al., 2001). The third possible mechanism for the extreme wave generation may lie in the energy focusing in a small spatial area during a short time, thus generating an abnormally large wave (Johannessen and Swan, 2001; Brandini and Grilli, 2001; Fuhrman and Madsen, 2006). Overall, these studies provided a good understanding of the mechanisms of extreme wave formation.

On the basis of the above mechanisms, numerous experiments and numerical investigations have been conducted regarding the physical characteristics of the extreme waves. Longuet-Higgins (1952) was one of the earliest pioneers to investigate the statistics of the extreme waves, who then clarified the effects of finite bandwidth and nonlinearity (Longuet-Higgins, 1980). Baldock et al. (1996) created wave focusing events using many superimposed regular wave trains based on a linear wave theory, and examined the effects of nonlinear wave-wave inter-

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actions on structure in uni-directional wave groups. They subsequently introduced the concept of a group inversion in an experimental context to investigate the free surface profile of focused wave groups. The directional focused wave group was studied experimentally by [Johannessen and Swan \(2001\)](#), who concluded that the directionality of the wave field has a profound effect on the nonlinearity of a large wave event, and that large directionally spread waves are much less nonlinear than the unidirectional waves.

[Grue et al. \(2003\)](#) studied the kinematics of the focused waves in the deep water and found that Stokes drift and a corresponding return flow beneath a focused wave group were inherent in all extreme wave events. In terms of the numerical simulation, [Ducroz et al. \(2008\)](#) developed an efficient fully nonlinear potential flow model based on a high-order spectral (HOS) method to simulate the propagation of 3-D directional wave fields. Two methods, meshless local Petrov-Galerkin method (MLPG\_R) and quasi-arbitrary Lagrangian-Eulerian finite element method (QALE-FEM), were also developed and compared by [Ma \(2007\)](#). [Hu and Zhang \(2014\)](#) used a Morlet wavelet spectrum method to analyze numerical and field measurement data on the extreme wave process. On the basis of a comparison of energy characteristics, it was found that rogue wave generation depended not only on the continuous transfer of the wave train energy to a certain region where its maximum energy finally occurs, but also on the distinct shift of the converged energy to high-frequency components in a very short time. Nevertheless, none of these studies considered the direct effects of wind on extreme wave events.

The extreme waves generally do not exist in isolation, and are commonly observed as being accompanied by wind ([Mori and Yasuda, 2002](#)). In the process of a wave propagation, the wind energy is transferred to the wave group, which has a strong influence on the wave propagation and the nonlinear characteristics. Therefore, it is critical to study the influence of wind on the propagation of extreme waves and their nonlinear characteristics. [Liu et al. \(2004\)](#) conducted an exploratory observational study of the generation and propagation of the extreme, rogue waves in the southern Indian Ocean, based on wave measurements. [Touboul \(2007\)](#) performed the numerical simulations of the extreme wave evolution in wind using a high-order spectral method based on Jeffreys' sheltering mechanism and modulation instability.

In addition, some numerical simulations have been established by solving the Navier-Stokes equations, as in [Sullivan et al. \(2000\)](#), [Sullivan and McWilliams \(2002\)](#) and [Fulgosi et al. \(2003\)](#). [Kharif et al. \(2008\)](#) and [Touboul et al. \(2006\)](#) introduced an additional air pressure at the free surface boundary conditions by considering Jeffreys' sheltering mechanism. [Yan and Ma \(2011\)](#) presented an improved model for evaluating the effects of the air pressure on 2-D extreme waves by analyzing the pressure distribution over the extreme waves using the QALE-FEM and StarCD approaches. The effects of wind on two-dimensional dispersive focusing wave groups were also studied by [Tian and Choi \(2013\)](#). The direct comparisons of measurements and simulations were made by including wind-driven currents in the simulations. [Zou and Chen \(2016\)](#) investigated the effects of wind on the evolution of the 2-D dispersive focusing wave groups using a two-phase flow model.

Comprehensive numerical study of the evolution of nonlinear extreme waves under wind forcing is by no means complete, however, new understandings of this phenomenon are still required for the purpose of aiding engineering designs in harsher environments. In the present study, the effects of some import-

ant parameters, such as wind speed, input wave amplitude, and spectrum bandwidth on the formation of extreme waves and their corresponding temporal-spatial-spectral evolution are further evaluated. In addition to this, the combined effect of wind and wind-driven currents are compared to address focal point shifts. In this paper, a detailed description of the numerical model is presented in Section 2. A higher-order boundary element method (HOBEM) based on the potential-flow theory is adopted in this study. Compared with the methods described above that rely on solving the Navier-Stokes equations the present numerical model has clear advantages with respect to computation efficiency. Additionally, regarding the simulation of free surface waves, the present method has fewer numerical dissipations than those based on the Navier-Stokes equations for long time simulation. The proposed numerical model is further validated by comparison with published experimental data in Section 3, and the numerical results are discussed in Section 4. Finally, conclusions are provided in Section 5.

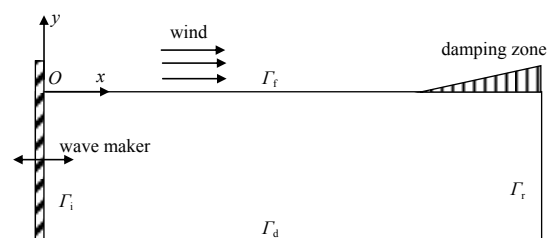
## 2 Numerical model

The interactions between the extreme waves and wind with velocity  $u$  in a two-dimensional (2-D) fluid domain are described in [Fig. 1](#). The free surface, wave maker, seabed and tank end are denoted by  $\Gamma_p$ ,  $\Gamma_i$ ,  $\Gamma_d$  and  $\Gamma_r$ , respectively. A Cartesian coordinate system,  $Oxz$ , is used so that the origin is located over the still water level at the left end of the domain, and the  $z$ -axis is positive in the upward direction. It is assumed that the fluid is incompressible, inviscid, and the flow motion irrotational so that a velocity potential exists in the fluid domain. Considering that there are currents induced by wind and assuming the currents are uniformly distributed along the water depth, the total velocity potential in the fluid domain can then be expressed as  $\Phi = u_0 x + \phi(x, z, t)$ , where  $u_0$  is the steady uniform current velocity and  $\phi(x, z, t)$  is the perturbation potential. In this study, the magnitude of the uniform current is empirically defined as 0.9% of the free-stream wind speed  $u$ , i.e.,  $u_0 = 0.9\%u$ , the same value used by [Tian and Choi \(2013\)](#) and [Zou and Chen \(2016\)](#). Both the total velocity potential and perturbation potential satisfy the Laplace equation in the computational domain  $\Omega$ .

Given the boundary conditions, the velocity potential  $\phi$  can be determined by solving the following boundary integral equation based on Green's second identity ([Brebbia and Walker, 1980](#); [Anderson, 1984](#)):

$$\alpha(p)\phi(p) = \int_{\Gamma} \left( \phi(q) \frac{\partial G(q,p)}{\partial n} \right) d\Gamma - \int_{\Gamma} \left( G(q,p) \frac{\partial \phi(p)}{\partial n} \right) d\Gamma, \quad p \in \Gamma, \quad (1)$$

where  $\Gamma$  represents the entire computational boundary;  $p$  and  $q$  are the source point  $(x_0, z_0)$  and field point  $(x, z)$ , respectively;  $\alpha$  is



**Fig. 1.** Sketch of the numerical wave flume.

the solid angle; and  $G$  is the Green function considering an image of the Rankine source about the sea floor, and can be written as  $G(p, q) = \ln r + \ln r_1$ , where  $r = \sqrt{(x - x_0)^2 + (z - z_0)^2}$  and  $r_1 = \sqrt{(x - x_0)^2 + (z + z_0)^2}$ .

On the instantaneous free surface  $\Gamma_p$ , the fully nonlinear kinematic and dynamic boundary conditions are satisfied. The so-called mixed Eulerian-Lagrangian method is used to describe a time-varying free surface. Towards the end of the computational domain, an artificial damping beach is applied to the free surface so that the wave energy is gradually dissipated in the direction of wave propagation. The profile and magnitude of artificial damping must minimize possible wave reflection at the leading edge of the damping zone while maximizing wave energy dissipation in the damping zone. In the present study, both  $\phi$ - and  $\eta$ -type damping terms are introduced in the free surface boundary conditions, which can be expressed in the Lagrangian expression as follows:

$$\left. \begin{aligned} \frac{Dx}{Dt} &= \frac{\partial \phi}{\partial x} + u_0 - \mu(x)(x - x_0) \\ \frac{D\eta}{Dt} &= \frac{\partial \phi}{\partial z} - \mu(x)\eta \\ \frac{D\phi}{Dt} &= -g\eta + \frac{1}{2}|\nabla\phi|^2 - \frac{p}{\rho} - \mu(x)\phi \end{aligned} \right\} \text{on } \Gamma_f, \quad (2)$$

where  $g$  is the acceleration due to the gravity;  $p$  is the pressure;  $\eta$  is the instantaneous free surface elevation;  $D/Dt$  is the material derivative; and  $x_0$  is the starting position of the damping layer. The damping coefficient function  $\mu(x)$  is defined as

$$\mu(x) = \omega_{\min} \left( \frac{x - x_0}{L_b} \right)^2 \quad \text{for } x_0 \leq x \leq x_0 + L, \quad (3)$$

where  $\omega_{\min}$  denotes the minimum angular frequency of the wave components; and  $L_b$  is the length of the damping layer and set as  $1.5\lambda_{\max}$  (where  $\lambda_{\max}$  denotes the maximum wave length of all wave components) in the present study.

In order to consider the pressure of wind, following work by [Kharif et al. \(2008\)](#) and [Touboul et al. \(2006\)](#), the pressure on the interface  $z = \eta(x, t)$  is related to the local wave slope. In the present study, a threshold for the local wave slope  $\eta_x$  is introduced, above which an energy transfer from wind to wave occurs. The critical value of the slope  $\eta_{xc}$  is set at 0.35 ([Touboul et al., 2006](#)) and the pressure can be calculated by the following expression:

$$\left\{ \begin{aligned} p(x) &= 0 & \text{if } \eta_{x_{\max}} < \eta_{xc} \\ p(x) &= \rho_a s (u - c)^2 \frac{\partial \eta}{\partial x}(x) & \text{if } \eta_{x_{\max}} \geq \eta_{xc} \end{aligned} \right., \quad (4)$$

where the constant  $s$  is the sheltering coefficient with a value of 0.5 based on experimental data,  $u$  is the wind speed,  $\eta_{x_{\max}}$  is the maximum local wave slope,  $c$  is the wave phase velocity, and  $\rho_a$  is the atmospheric density. At the outflow boundary  $\Gamma_r$ , the rigid and impermeable boundary condition is satisfied as

$$\frac{\partial \phi}{\partial n} = 0 \quad \text{on } \Gamma_d \text{ and } \Gamma_r. \quad (5)$$

At the inflow boundary  $\Gamma_i$ , fluid motion is generated by a piston wave maker, and for the focused wave the displacement  $S$  and velocity  $u_p$  of the wave maker can be specified as ([Ning et al.,](#)

2015)

$$\left. \begin{aligned} S &= \sum_{i=1}^N \frac{a_i}{T_r} \sin(k_i x_p + \omega_i(t - t_p)) \\ u_p &= \sum_{i=1}^N \frac{a_i}{T_r} \omega_i \cos(k_i x_p + \omega_i(t - t_p)) \end{aligned} \right\} \text{on } \Gamma_i, \quad (6)$$

where  $N$  is the number of wave components;  $a_i$ ,  $k_i$  and  $\omega_i$  are the respective linear wave amplitude, wave number and angular frequency of the  $i$ th component satisfying the linear Doppler-shifted dispersion relationship  $(\omega_i - k_i u_0)^2 = g k_i \tanh k_i h$ .  $x_p$  and  $t_p$  denote the focal position and focal time as predicted by linear wave theory.  $T_r = 4 \sinh^2(k_i h) / [2k_i h + \sinh(2k_i h)]$  is the transfer function for the piston wave maker and  $h$  is the static water depth.

As the above boundary value problem is solved in the time domain, the initial water surface conditions are applied in this study:

$$\phi(x, z, 0) = \eta(x, 0) = 0. \quad (7)$$

In addition, the wave maker properties on the inflow boundary  $\Gamma_i$  are imposed gradually using a ramping function, which satisfies calm water conditions and smoothly approaches unity as the simulation proceeds. The ramping function is given by

$$R_m = \begin{cases} \frac{1}{2} \left( 1 - \cos \left( \frac{\pi t}{T_m} \right) \right) & \text{if } t \leq T_m, \\ 1 & \text{if } t > T_m, \end{cases} \quad (8)$$

where  $T_m$  is specified as the length of time for which the input wave is ramped, here chosen as twice the maximum wave period (i.e.,  $2T_{\max}$ ) among all the wave components in the focused wave group.

In this study, the boundary surface is discretized by three-node isoparametric elements, by which Eq. (1) in the discretized form can be expressed as follows:

$$\alpha(p) \phi(p) = - \sum_{j=1}^M \left( \int_{-1}^1 \frac{\partial G(p, q(\xi))}{\partial n} \phi(q(\xi)) - \frac{\partial \phi(q(\xi))}{\partial n} G(p, q(\xi)) \right) |J(\xi)| d(\xi), \quad (9)$$

where  $\xi$  represents the local intrinsic coordinates,  $M$  is the number of discretized elements on the surface, and  $J(\xi)$  is the Jacobian matrix relating the physical coordinates to the local intrinsic coordinates within an element. Eventually, the discretized integral equation is transformed into a system of linear algebraic equations.

After solving the boundary value problem and obtaining fluid velocities and the normal vector on the free surface, the free surface boundary conditions in Eq. (2) are advanced in time as described by [Ning and Teng \(2007\)](#). For this purpose, a fourth-order Runge-Kutta (RK4) scheme is adopted. The fluid domain is remeshed at each time step to prevent free-surface nodes from piling up at certain positions. Based on the horizontal coordinates of new nodes obtained through mesh generation, the vertical position and potential could be interpolated using the quadratic shape equation. To find which old line element the new node belongs to, the following criterion was used:

$$\left| L_0 - \sum_{i=1}^{M_1} L_i \right| \rightarrow 0.0, \quad (10)$$

where  $L_0$  is the length of the old line element,  $L_i$  is the length of a sub-element consisting of one node in the old element and the new node being considered, and  $M_1$  is the number of sub-elements surrounding the node: here  $M_1=2$ .

### 3 Validation tests

In order to validate the present model, numerical results are compared with the experimental data in [Kharif et al. \(2008\)](#) for the case of a 2D extreme wave under wind action. The wind speed is set as  $U=0$ . The tank is 40 m in length and 2.6 m in height, with a water depth of 1 m. The extreme wave is generated by a wavemaker with motion defined by a sine function. The frequency of the sine function varies linearly from the maximum frequency ( $f_{\max}=1.85$  Hz) to the minimum frequency ( $f_{\min}=0.8$  Hz) over a duration of  $T=23.5$  s. The motion of the wavemaker is governed by

$$S(\tau) = \begin{cases} \frac{a}{F} \cos \left[ \int_0^{\tau} \omega(\tau) d\tau \right] & \tau \leq T, \\ 0 & \text{else,} \end{cases} \quad (11)$$

where  $a$  is the expected wave amplitude, which is given as 0.007, and  $F$  is the transfer function for the wavemaker ([Ma, 2007](#)), written as

$$F = \frac{2 [\cosh(2kd) - 1]}{\sinh(2kd) + 2kd}. \quad (12)$$

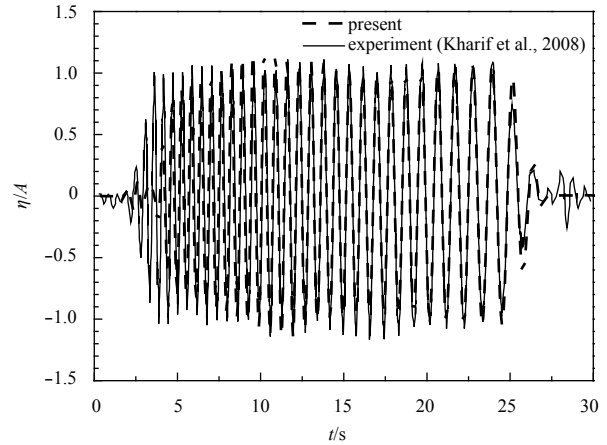
[Figure 2](#) displays the wave elevation at  $x=1$  m in the physical experiment and numerical simulation. There was generally good agreement and the discrepancy during the initial period was due to the use of different ramping functions. [Figure 3](#) shows the surface elevation at several positions, measured experimentally and computed numerically. The phases and amplitudes of the numerical and experimental wave trains were in good agreement, demonstrating the efficiency of the present numerical method in correctly reproducing the nonlinear evolution of wave groups during the focusing-defocusing cycle.

### 4 The numerical results and discussion

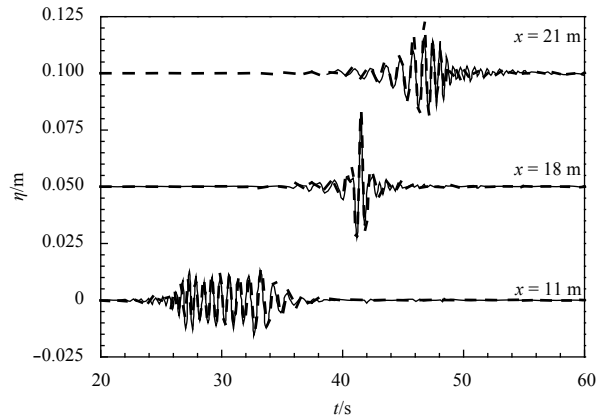
Numerical simulations were next carried out for the focused wave group interaction with wind and wind-driven currents. The effects of the wind velocity, the wave spectra bandwidth and the input wave group amplitude were studied.

#### 4.1 Evolution of wave groups under wind forcing

The parameters for this case included static water depth  $h=0.4$  m, wave period  $0.8 \text{ s} \leq T \leq 1.2$  s (defined as the narrow-band case),  $0.6 \text{ s} \leq T \leq 1.4$  s (defined as the wide-band case), and total input group amplitude  $A_i=0.05$  m and  $A_i=0.06$  m. In addition, the wave amplitude was kept constant among the total of 29 wave components, and the desired focusing event occurred at  $x_p=6.5\lambda_{\min}$  and  $t_p=16.5T_{\min}$  ( $\lambda_{\min}$  and  $T_{\min}$  denote the shortest wavelength and smallest wave period among all wave components, respectively). For the purpose of easier comparison, in the following figures both the focal position and focal time were shifted to 0 on the axes by subtracting the corresponding coordin-



**Fig. 2.** Surface elevation as a function of time at  $x=1$  m.



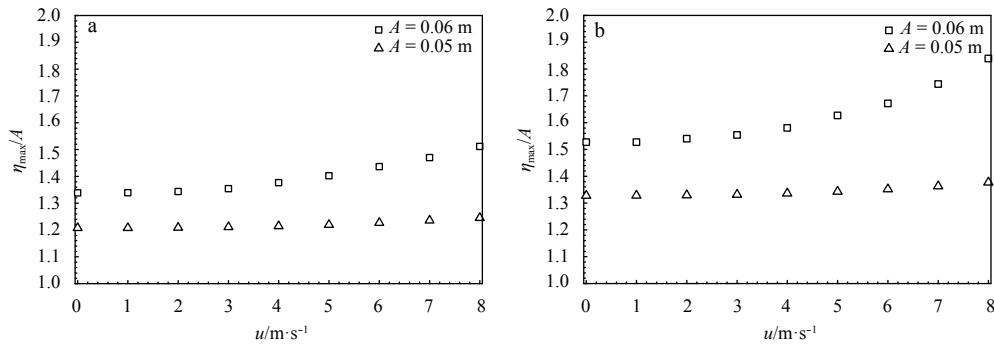
**Fig. 3.** Surface elevation as a function of time at  $x=21$ , 18 and 11 m. Solid line represents the experimental data and dashed line numerical simulation.

ates with  $x_p$  and  $t_p$ .

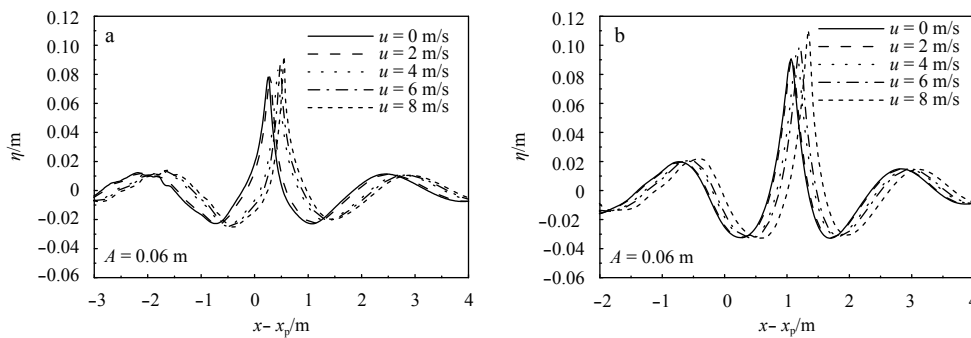
[Figure 4](#) shows the maximum focusing wave amplitude under different wind speed conditions with both the wide- and narrow-bandwidth spectra. The wave amplitude was non-dimensionalized by  $A_p$ , which increased as the wind speed increased due to the fact that more energy is transferred to the wave group. In addition, the wave speed seemed to have a greater influence on larger waves: the increase in the wave amplitude for larger waves was more significant with the increase in the wind speed. Frequency bandwidth was also an important factor that affected the extreme wave characteristics. In the case of the narrow-bandwidth spectrum, the wave amplitude grew at the same wind speed, exhibiting stronger nonlinearity.

[Figure 5](#) shows the surface elevation when the focusing event occurred at wind speeds of 0, 2, 4, 6 and 8 m/s at  $A_i=0.06$  m. The maximum focusing amplitude clearly increased with the increase in the wind speed. This figure also shows the effect of wind by shifting the focal position downstream, most obviously for the narrow-band case. For example, in [Fig. 5a](#) the focal position shifted 0.55 m downstream at  $u=8$  m/s, while at the same speed the shift in focal position increased to 1.34 m for the narrow-band case, as shown in [Fig. 5b](#).

[Figure 6](#) shows the deviation in focal position as a function of the wind velocity.  $A_i=0.05$  m, the shift of the focal position did not



**Fig. 4.** Plots of focal crest elevation against wind speed at different wave amplitudes and spectra. a.  $0.6 \text{ s} \leq T \leq 1.4 \text{ s}$  and b.  $0.8 \text{ s} \leq T \leq 1.2 \text{ s}$ .



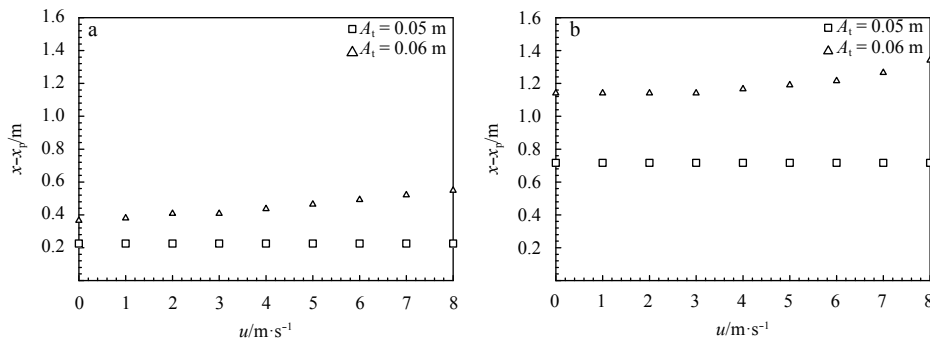
**Fig. 5.** Spatial distribution of wave elevation at focal time with wind velocities of 0, 2, 4, 6 and 8 m/s. a.  $0.6 \text{ s} \leq T \leq 1.4 \text{ s}$  and b.  $0.8 \text{ s} \leq T \leq 1.2 \text{ s}$ .

appear to be sensitive to the wind speed and hardly changed as the wind speed increased, while  $A_i=0.06 \text{ m}$ , the wind caused a weak downstream shift at the focal point. The same phenomenon was also observed in studies by [Kharif et al. \(2008\)](#) and [Touboul et al. \(2006\)](#), which was due to currents being induced by the wind. The Jeffreys' sheltering mechanism describes air flow separation over waves. This mechanism is not remarkable for milder waves. However, for steep waves it is well known that the air flow separation results in a much higher energy transfer from wind to waves ([Touboul et al., 2008](#)).

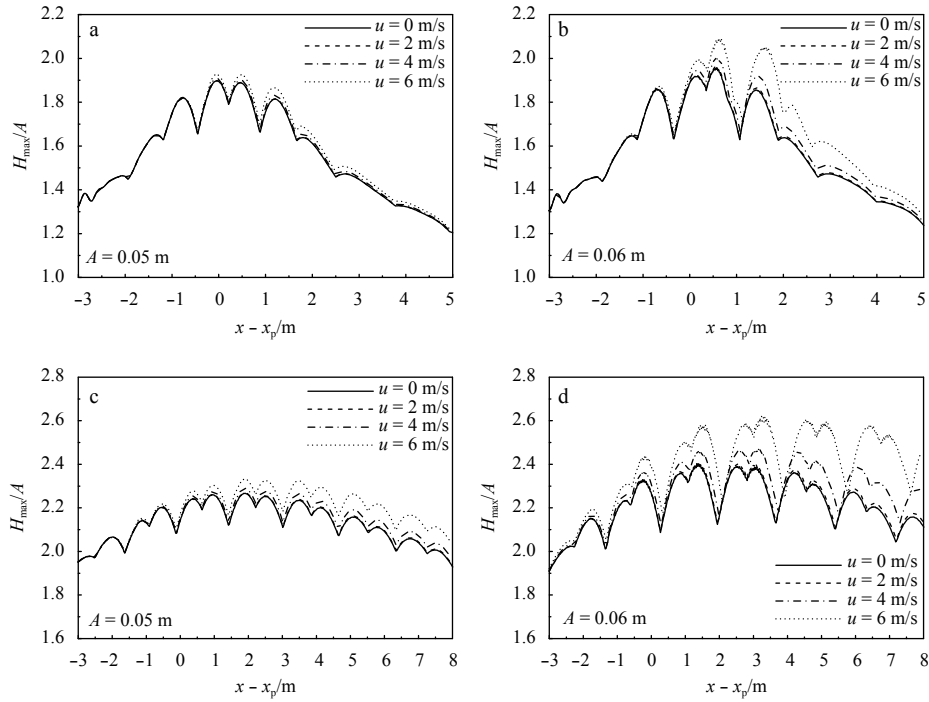
Figure 7 shows the amplification factor  $H_{\max}/A$ , as a function of space for the wave group under four different wind forcing conditions ( $u=0, 2, 4$  and  $6 \text{ m/s}$ ). Here  $H_{\max}$  is the maximum height between the consecutive crest and trough in the transient group. In contrast with the case without wind, there was an asymmetric profile that appeared between the focusing and defocusing stages. Particularly during the defocusing stage, it was ob-

served that  $H_{\max}/A$  increased markedly with the increase in the wind speed for the narrow-band case. In [Fig. 7a](#), when  $u=6 \text{ m/s}$  the maximum  $H_{\max}/A$  was around 1.93, but in [Fig. 7d the maximum  \$H\_{\max}/A\$  reached 2.62 at the same wind speed.](#)

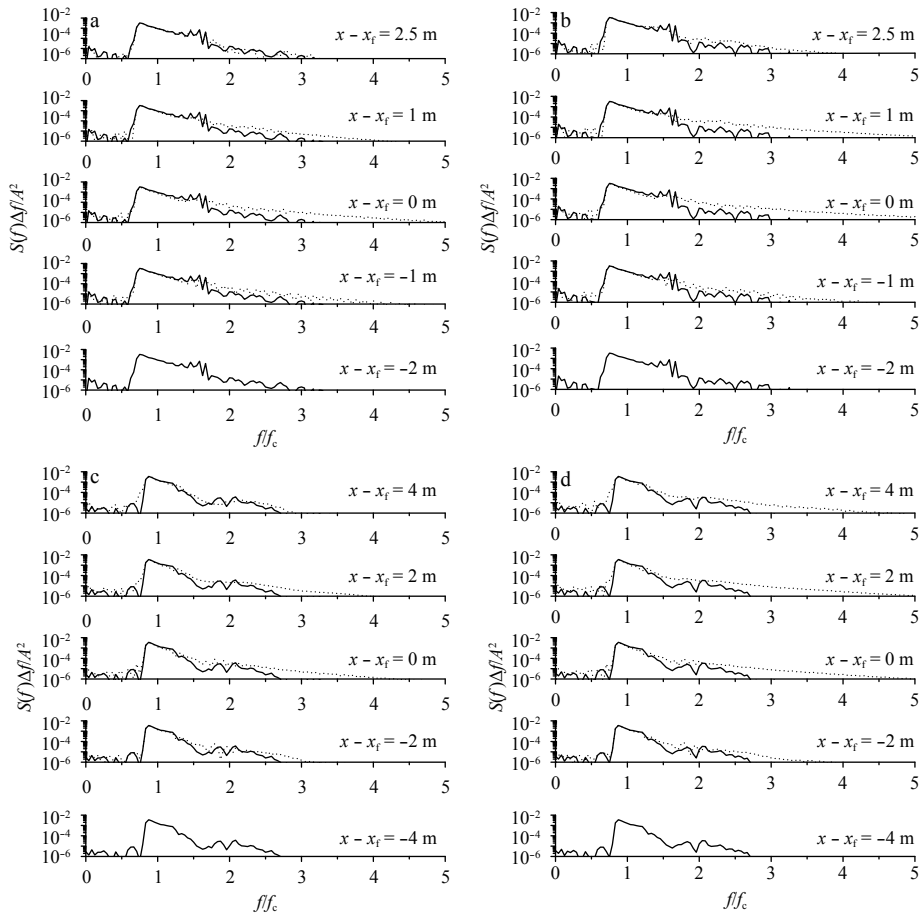
The spectral energy evolution for wave focusing and defocusing is shown for cases with and without wind action in [Fig. 8](#). An input group amplitude of  $A=0.06 \text{ m}$  was considered for both the narrow-band and wide-band cases. Five representative spatial points, including upstream points, the actual focal point  $x_p$ , and downstream points are plotted. The solid line indicates the density spectrum at the first upstream reference point, and the dashed lines denote those at the other marked points in the figures. As the wave group approached the focal position, the transfer of spectral energy from the primary frequency to higher frequencies could clearly be seen. The wave energy was then transferred from the high frequencies back to the fundamental one, and the corresponding spectra gradually returned to their original refer-



**Fig. 6.** Plot of focal position deviation against wind speed at different wave amplitudes and spectra. a.  $0.6 \text{ s} \leq T \leq 1.4 \text{ s}$  and b.  $0.8 \text{ s} \leq T \leq 1.2 \text{ s}$ .



**Fig. 7.** Evolution of  $H_{\max}/A$  as a function of space for various wind speeds at different wave amplitudes and spectra. a.  $0.6 \text{ s} \leq T \leq 1.4 \text{ s}$ , b.  $0.6 \text{ s} \leq T \leq 1.4 \text{ s}$ , c.  $0.8 \text{ s} \leq T \leq 1.2 \text{ s}$  and d.  $0.8 \text{ s} \leq T \leq 1.2 \text{ s}$ .



**Fig. 8.** Energy spectrum at different points for cases with and without wind. a.  $0.6 \text{ s} \leq T \leq 1.4 \text{ s}$ ,  $u=0 \text{ m/s}$ ; b.  $0.6 \text{ s} \leq T \leq 1.4 \text{ s}$ ,  $u=6 \text{ m/s}$ ; c.  $0.8 \text{ s} \leq T \leq 1.2 \text{ s}$ ,  $u=0 \text{ m/s}$ ; and d.  $0.8 \text{ s} \leq T \leq 1.2 \text{ s}$ ,  $u=6 \text{ m/s}$ .

ence values during the wave defocusing process in the case without wind. This means that the nonlinear energy transfer was reversible in the focusing and defocusing processes and the effects of the wave to wave interactions were gradually diminished. In contrast, when the wind velocity  $u$  was 6 m/s, the energy transferred to the high frequencies could not recover to its initial reference level as shown in Fig. 8. Energy transfer to the high frequencies was still visible at the focal point.

#### 4.2 Wind-driven currents

The presence of wind forcing introduces a thin surface drift layer, which may have important effects on the evolution of the wave groups (Banner and Phillips, 1974). This layer has high vorticity and the velocity profile depends strongly on the water depth (Phillips and Banner, 1974); however, for simplicity, the layer can be modeled as a uniform surface current (Kharif et al., 2008) with a magnitude that is typically a few percent of the wind speed. Figure 9 compares the distribution of the focused crest elevation under wind action with and without wind-driven currents, with linear wind speed predictions of  $u=2$  and 4 m/s. It shows that, as the wave amplitude increases, the maximum wave elevation increases and deviates from the linear solution. Furthermore, the maximum crest elevation increased more rapidly for the case

with wind only, but less significantly for the case with the wind-driven currents due to a decreasing wave nonlinearity.

Figures 10 and 11 show the temporal history of the wave elevation at the focusing position and the spatial distribution of the wave elevation at the focusing time for four different cases, i.e., pure wave ( $u=0$  m/s,  $u_0=0$  m/s), wind action ( $u=6$  m/s,  $u_0=0$  m/s), and the dual action of winds and induced currents ( $u=6$  m/s,  $u_0=0.054$  m/s). It can be seen that the focusing time delays and the focusing position shifted downstream compared with those in the pure waves for two cases (wind only and wind-driven currents). In particular, the postponement of the focal time and the focal position was most significant for the wind-driven currents case, because both the influence of the wind and the wind-driven currents were taken into account. On the other hand, due to the nonlinear effect, the delays in the focal position and the focal time were more obvious in the narrow-band spectrum. For example, in Fig. 10d the delay in focal time for the case of  $u=6$  m/s,  $u_0=0.054$  m/s was 2.9 s, while in Fig. 11d the delay in focal position for the same case was 4.14 m.

#### 5 Conclusions

The influence of wind on the characteristics of the extreme waves was investigated using a fully nonlinear wind and wave

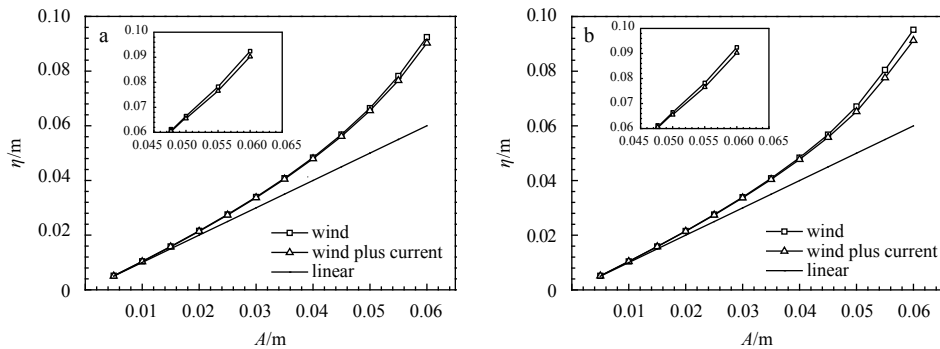


Fig. 9. Comparison of focus wave amplitude under the action of various sources at  $u=2$  m/s (a) and 4 m/s (b).

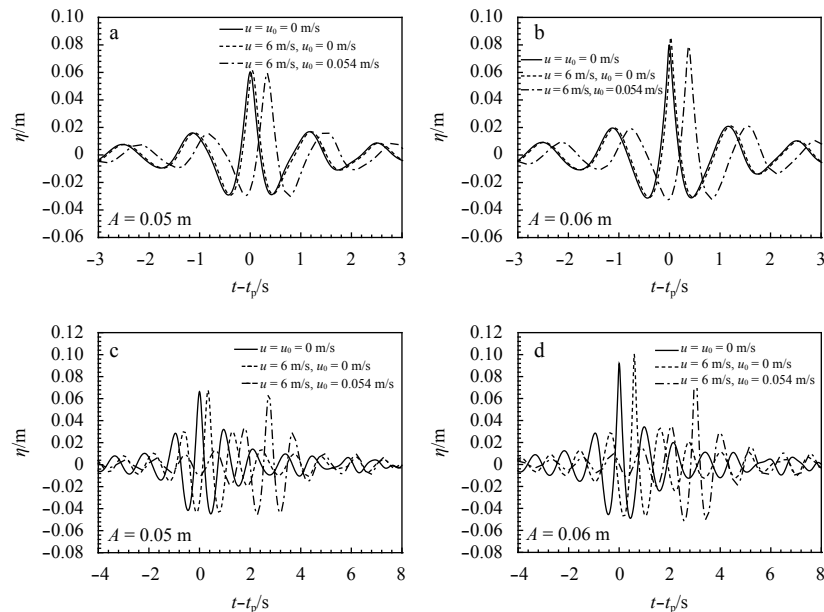
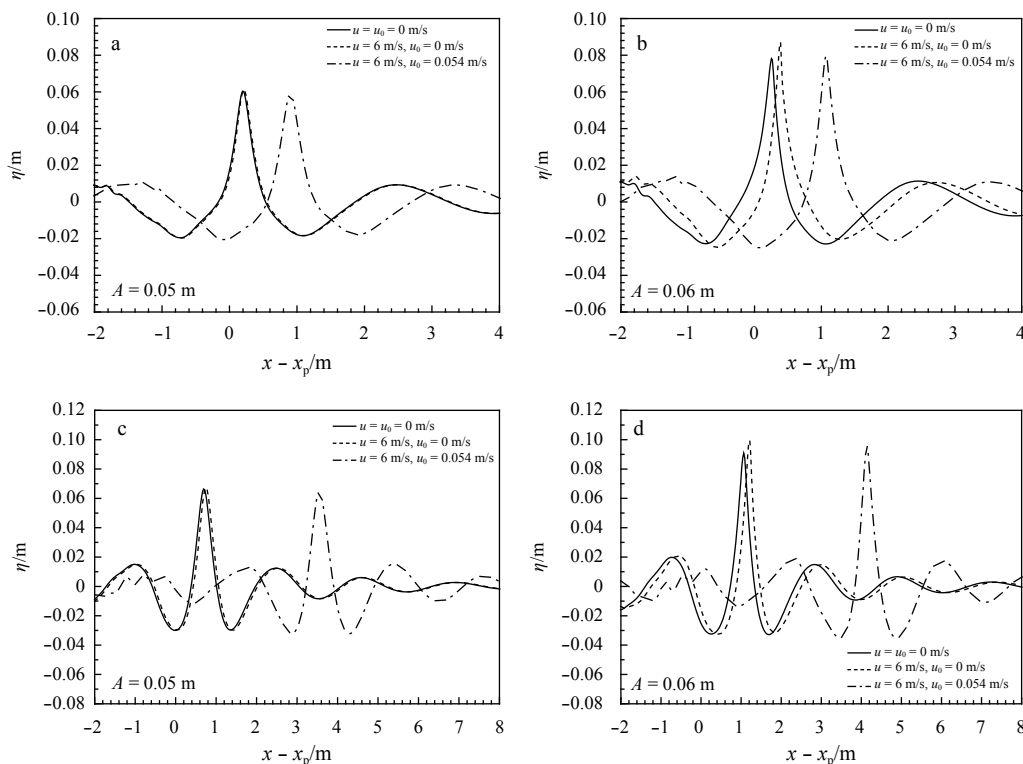


Fig. 10. Comparison of time history at focal position. a and b.  $0.6 \text{ s} \leq T \leq 1.4 \text{ s}$ ; c and d.  $0.8 \text{ s} \leq T \leq 1.2 \text{ s}$ .



**Fig. 11.** Comparison of spatial distribution at different focal times. a and b.  $0.6 \text{ s} \leq T \leq 1.4 \text{ s}$ ; c and d.  $0.8 \text{ s} \leq T \leq 1.2 \text{ s}$ .

mixing 2-D numerical tank model. The wind-excited pressure was modelled using a modified Jeffreys' sheltering mechanism model. Through a series of numerical investigations, effects of the wind pressure on the extreme wave were described and can be classified as follows. First, the maximum focusing amplitude of the extreme wave was increased due to the presence of a wind pressure. Second, the current induced by the wind weakly shifted the focusing position of the extreme waves. The cases with narrow-band spectra and larger input wave amplitudes were more significantly influenced by wind. Thirdly, unlike the cases with no wind, the wave profiles for cases with wind were asymmetric between the focusing and defocusing stages. During the process of defocusing in particular, a clear increase in  $H_{\max}/A$  was observed as the wind speed increased, for the narrow-band case. Finally, the wind affected the spectral evolution of the focusing wave groups. For the case without wind, as the wave group approached the focusing position, there was a clear transfer of spectral energy from the primary frequency to higher frequencies. Then there was a reverse transfer of the wave energy and the corresponding spectra gradually recovered to their original reference values during the wave defocusing process. In contrast, considering when the wind velocity is 6m/s, the energy transferred to the higher frequencies was not able to return to the initial reference level. The direct comparison of the effects of wind, currents, and wind-driven currents reveals that the maximum crest elevation increases more clearly in the case of wind only, and least of all in the case with currents only. In addition, because the influence of both winds and currents was taken into account in the wind-driven currents case, the focusing time and the focusing position were most obviously delayed. It must be noted that the present study is based on the nonlinear potential-flow theory. For more detail on the interactions of wind and waves, viscous effects should be considered in the future invest-

igations.

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